

Total No. of Questions : 5]

SEAT No. :

**P3412**

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**[5080]-102**

**P.G.D.F.S.**

**MONEY & CAPITAL MARKETS**

**(2013 Pattern) (Semester - I)**

*Time : 3 Hours]*

*[Max. Marks : 50*

*Instructions to the candidates:-*

- 1) There are five questions each of 10 marks.*
- 2) All questions are compulsory with internal choice.*

**Q1) Discuss the functions and constituents of financial markets. [10]**

OR

Elaborate the transformation that has taken place in the organization of the Indian Financial system in the post - 91 periods.

**Q2) What is money market and how SEBI controls monitoring the growth of money market to present level? [10]**

OR

What is Bill of Exchange? Explain the different types of Bill of Exchange in India.

**Q3) What is capital market? What are primary and secondary markets? [10]**

OR

What are the important instruments in Capital Market? Explain each in brief.

***P.T.O.***

**Q4)** Explain role of SEBI in regulating and controlling Securities Markets. [10]

OR

Explain the recommendations of committees on SEBI's regulatory framework.

**Q5)** 'A stock market is commonly regarded as a barometer of business conditions in the economy'. Elucidate. [10]

OR

Write Short note on (any two)

- a) National Stock Exchange (NSE)
- b) Stock Market Index
- c) Online Stock Trading



Total No. of Questions : 5]

SEAT No. :

P3413

[Total No. of Pages : 2

[5080]-103

P.G.D.F.S.

FINANCIAL REGULATORY FRAMEWORK

(2013 Pattern) (Semester - I)

*Time : 3 Hours]*

*[Max. Marks : 50*

*Instructions to the candidates:-*

- 1) *There are five questions each of 10 marks.*
- 2) *All questions are compulsory with internal choice.*

**Q1)** a) Explain the need and importance of regulatory framework in India. [10]

OR

b) Discuss the structure of regulatory framework in India.

**Q2)** a) What are the functions of insurance regulatory and Development Authority. [10]

OR

b) Explain the major traditional as well as promotional function of Reserve Bank of India.

**Q3)** a) Define the term prospectus in Companies Act, 1956. Elaborate the various contents of prospectus in detail. [10]

OR

b) Explain the provisions regarding Accounts and Audit under the companies Act, 1956.

**P.T.O.**

**Q4) a)** What is Global depository Receipt. How it is different from an American Depository Receipt? **[10]**

OR

b) What is External Commercial Borrowing? Explain the key elements & terms and conditions regarding an external commercial borrowing.

**Q5) a)** What are the provisions of FEMA regarding acquiring property outside India? **[10]**

OR

b) Discuss the role of Foreign Direct Investment and Foreign Institutional Investments in Indian Financial System.



Total No. of Questions : 5]

SEAT No. :

**P3414**

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**[5080]-104**

**P.G.D.F.S.**

**INVESTMENT PRODUCTS AND CHARACTERISTICS**

**(2013 Pattern)**

*Time : 3 Hours]*

*[Max. Marks : 50*

*Instructions to the candidates:-*

- 1) *All Questions are compulsory.*
- 2) *All Questions carry equal Marks.*

**Q1)** How Investments differ from Savings? Explain with suitable examples.

OR

What do you mean by shares? Explain types of shares.

**Q2)** Differentiate between debentures and bonds. Write in detail types of bonds.

OR

What do you mean by Securities? How to calculate yield and valuation of Government Securities.

**Q3)** What is commodity market? Differentiate between futures and options.

OR

Write detail note on

- a) Spot
- b) Forward

***P.T.O.***

**Q4)** What is meant by Mutual Fund? Explain in brief the advantages and limitations of Mutual Funds.

OR

Assess the performance of Mutual Funds in capital formation and business growth in India.

**Q5)** What do you mean by real estate investment? Explain the characteristics of real estate investment?

OR

How is GDR different from ADR? State the advantages and disadvantages of ADR.



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SEAT No. :

**P3415**

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**[5080]-201**

**P.G.D.F.S.**

**201 -FINANCIAL INSTRUMENTS AND DERIVATIVES**

**(2013 Pattern) (Semester - II)**

*Time : 3 Hours]*

*[Max. Marks : 50*

*Instructions to the candidates:-*

- 1) *All questions carry equal marks.*
- 2) *Use diagrams wherever necessary.*
- 3) *All questions are compulsory.*
- 4) *Each questions has an internal option.*
- 5) *Use of accounting calculators is perimitted (as applicable).*

**Q1) a)** Explain and write in detail about. Capital & Money Market Financial Instruments. Elaborate its meaning, definition, need and Importance.

OR

b) What is Capital Market? Explain the structure, players and instruments of Capital Markets.

**Q2) a)** Elaborate in detail the meaning and importance of Money Market.

OR

b) Write short note on (any 4)

- i) Call / Money
- ii) Treasury Bills
- iii) Term Money
- iv) Certificate of Deposits

***P.T.O.***

- v) Commercial paper
- vi) Inter Bank term money

**Q3)** a) Differentiate between Equity Shares and Preference Shares. Explain their role as instruments in Capital Market.

OR

- b) Write short notes on (any 2)
  - i) Convertible Cumulative Debentures
  - ii) Fixed Deposit
  - iii) ADR
  - iv) GDR

**Q4)** a) Discuss and write in detail about the meaning and characteristics of Derivatives.

OR

- b) Explain various types of derivatives. Also Elaborate the meaning of spot, forward & future contracts.

**Q5)** a) Write in detail about 'Capital Asset Pricing Model'. Use suitable diagrams and examples to elaborate the same.

OR

- b) What do you understand by 'Risk management in derivatives'. Explain the measures adopted for managing risk in Derivatives.





Total No. of Questions : 5]

SEAT No. :

**P3416**

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**[5080] - 202**

**PGDFS (Semester - II)**

**202 : Equity Research With Financial Modeling**

**(2013 Pattern)**

*Time : 3 Hours]*

*[Max. Marks : 50*

*Instructions to the candidates :*

- 1) *All questions carry equal marks.*
- 2) *All questions are compulsory.*
- 3) *Each questions has an internal option.*
- 4) *Use of calculators is permitted (as applicable)*

**Q1)** a) What is fundamental analysis of equity research?

OR

- b) Explain equity research. Explain the importance of technical analysis in equity research.

**Q2)** a) Explain the purpose and uses of financial model.

OR

- b) Identify and describe atleast five excel functions commonly used in financial modeling.

**Q3)** a) Differentiate between trend analysis and sensitivity analysis.

OR

- b) Explain the terms 'Discounted Cash Flow method and relative valuation.

**Q4)** a) Explain how you will conduct data analysis of a company from share market.

OR

- b) Exaborate the term 'mouing averages' using simple, exponential rate of change indicators (ROC)

**Q5)** a) Prepare various chart patterns and explain the same using suitable examples and diagrams.

OR

- b) Explain how you will conduct technical analysis of financial statements.



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SEAT No. :

P 3417

[Total No. of Pages : 2

[5080]-203

PGDFS (Semester - II)

203:Wealth and Portfolio Management

(CBCS)(2013 Pattern)

*Time : 3 Hours]*

*[Max. Marks : 50*

*Instructions to the candidates:*

- 1) *All questions are compulsory*
- 2) *Each question has an internal option*
- 3) *Each question carries 10 marks*
- 4) *Figures to the right indicates full marks for that question/ sub - question.*
  
- 5) *Your answers should be specific and to the point.*
- 6) *Support your answers with suitable live examples.*
- 7) *Draw neat diagrams and illustrations supportive to your answer.*
- 8) *Use of calculators is permitted.*

**Q1)** a) Trace the evolution of portfolio management over the years, highlighting the important development. [10]

**OR**

b) Explain the role of portfolio management in minimising risk and increasing return. [10]

**Q2)** a) Write detail note on Efficient Market Theory. [10]

**OR**

b) CAPM can be used to evaluate the pricing of securities. "Explain. [10]

**Q3)** a) What is portfolio risk? Illustrate the calculation of the portfolio risk with an example. [10]

**OR**

b) What is portfolio return? Illustrate the calculation of expected return of portfolio with an example [10]

**P.T.O.**

**Q4)** a) “Portfolio manager requires to consider different factors and constraints while revising portfolio”Illustrate. [10]

**OR**

b) Explain in detail portfolio revision strategies [10]

**Q5)** a) Describe how the total return of a portfolio can be decomposed into different sources using Fama’s decomposition formula. [10]

**OR**

b) What are risks adjusted return measures? Explain with Example. [10]

